

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371		Attorney's Docket Number LIGN3003/REF/958
		U.S. Application Number 09/463,958
International Application Number PCT/EP99/07551	International Filing Date 10/08/1999	Priority Date Claimed 10/16/1998
Title of Invention TREATMENT OF DYSPEPSIA		
Applicant(s) for DO/EO/US Ake LIGNELL		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:

1. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
2. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)). (☒ Executed ☐ Unexecuted)
3. ☒ A copy of Form PCT/DO/EO/905 dated: December 6, 2001.
4. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
5. ☒ An assignment document for recording.
A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
6. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
7. ☐ A substitute specification.
8. ☐ A change of power of attorney and/or address letter.
9. ☒ Applicant asserts right to claim small entity status.
10. ☐ A Request for Refund.
11. ☒ Surcharge in the amount of \$ 65.00.
12. ☒ Assignment recordation fee of \$ 40.00.
13. ☐ Processing fee of \$ for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

A check in the amount of \$ 105.00 to cover the fees is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account Number 02-0200**. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BACON & THOMAS, PLLC

01/23/2002 LLANDGRA 00000068 09463958

01 FC:254

65.00 DP

By: Richard E. Fichter
 RICHARD E. FICHTER
 Registration No. 26,382

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 625 Slaters Lane - 4th Fl.
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 Phone: (703) 683-0500

Date: January 16, 2002

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

#3

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/463,958	AKE LIGNELL	REF/LIGNELL/551

INTERNATIONAL APPLICATION NO.

PCT/EP99/07551

I.A. FILING DATE	PRIORITY DATE
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10/08/1999

10/16/1998

BACON & THOMAS
625 SLATERS LANE
FOURTH FLOOR
ALEXANDRIA, VA 22314-1176

LIGN 3003

Date 12-13-01 Atty REFAction Due NTFMRgsDeadline 2/06/02Final Deadline 5/06/02

CONFIRMATION NO. 6995

371 FORMALITIES LETTER



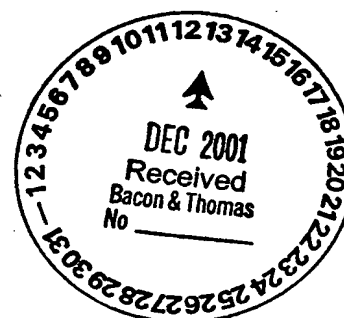
OC000000007140472

Date Mailed: 12/06/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/463,958	PCT/EP99/07551	REF/LIGNELL/551

PTO/PCT Rec'd 1 7 JAN 2002

#3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ake LIGNELL

Serial No.: 09/463,958

Filed: February 10, 2000

For: TREATMENT OF DYSPEPSIA

Attention:

PCT BOX MISSING PARTS

RESPONSE AND SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to the Official Action dated December 6, 2001, in connection with the above-identified application.

The Official Action acknowledges receipt of the application, but indicates that a Declaration in compliance with 37 C.F.R. §1.497(a) and (b) is required. Applicants submit herewith a properly and fully executed Declaration which identifies the application by serial number, title and PCT international application number. A copy of the form is submitted herewith along with the required \$65.00 surcharge in the amount for small entity which is asserted by Applicant.

It is believed that the application is now in condition for examination. Accordingly, Applicant most respectfully requests that an Official Filing Receipt be issued and that the application be forwarded to the appropriate examining group for its examination in due course.

Respectfully submitted,
BACON & THOMAS, PLLC

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MissPts PCT Ltr toPTO.wpd

January 16, 2002